

CAROLINE M. BRADLEY
CURRICULUM VITAE

University of Miami School of Law
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EMPLOYMENT

Professor of Law, University of Miami, School of Law, 1994 to present.

Chair, University of Miami School of Law LLM program in International Law, 2006 to present (Co-Chair 2001-2006)

Visiting Associate Professor of Law, University of Miami, School of Law, 1992-4.

Lecturer in Law with special reference to the Law of International Transactions, London School of Economics and Political Science, 1986-1994. (On special leave during the sessions 1992-3 and 1993-4). Appointed to retirement age from October 1991. Discretionary increments awarded in 1989-90, 1990-91 and 1991-92.

Part-time Lecturer, Advanced Corporate and Securities Laws, University of Cambridge, 1986-1987.

Articled Clerk, Freshfields, Solicitors, 1984 -1986.

Visiting Supervisor, Conflicts of Laws and EEC Law, Jesus College, Cambridge, 1984-1985.

EDUCATION

LLM. First Class, Jesus College, Cambridge. 1983 -1984

Law Society Final Examinations Course, Manchester Polytechnic. 1982-1983. (Final Examination passed at Summer 1983 sitting.)

BA(Hons), First Class in Law, Jesus College, Cambridge. 1979 -1982. President of the Young European Democrats, Member of the Cambridge Students' Union Executive.

TEACHING

International Finance
Business Associations
LLM Seminar on Cross Border
Practice of Law

Law of the European Union
Law and Online Games Seminar
Contracts

PUBLICATIONS

WORK IN PROGRESS

Stakeholders and the Machine : Identity and the Construction of Rules on Money

Transnational Regulation and the Global Financial Crisis 2007-2010 , draft available at
<http://blenderlaw.uml.edu/wp-content/uploads/2010/09/globfincrisislecture1.pdf>

Rhetoric and the Regulation of the Global Financial Markets in a Time of Crisis: the Regulation of Credit Ratings, draft available at
http://papers.ssrn.com/sol3/papers.cfm?abstract_id=1466277

Reconfiguring the Self in Self-Regulation, current draft available at
<http://blenderlaw.uml.edu/wp-content/uploads/2008/10/bradleyselfart008.pdf>

Intersections and Layers in Financial Regulation, draft available at
<http://blenderlaw.uml.edu/wp-content/uploads/2007/07/bradleylayers002.pdf>

Gaming the System: Virtual Worlds and the Securities Markets, (October, 2007)
University of Miami Legal Studies Research Paper No. 10 Available at SSRN:
<http://ssrn.com/abstract=1022441>

Online Financial Education

PUBLISHED AND FORTHCOMING

Dimity Kingsford Smith & Caroline Bradley eds., *Symposium on Online Investing and the Online Consumer*, 26 *Law & Policy* 371-506 (2004)

ARTICLES

Transparency Is The New Opacity: Constructing Financial Regulation After The Crisis (forthcoming *Am. U. Bus. L. Rev.*(2011))

Consultation and Legitimacy in Transnational Standard-Setting, 20 *Minn.J. Int'l L.* 480 (2011)

The Confidence Game: Manipulation of the Markets by Governmental Authorities, 11 Transactions: The Tennessee Journal of Business Law 59 (2009)

Consumers of Financial Services and Multi-level Regulation in the European Union, 31 FORDHAM INT'L L. J. 1212 (2008)

Financial Trade Associations and Multilevel Regulation, in Ramses Wessel, Andreas Follesdal & Jan Wouters eds., MULTILEVEL REGULATION AND THE EU: THE INTERPLAY BETWEEN GLOBAL, EUROPEAN AND NATIONAL NORMATIVE PROCESSES (2008)

Financial Trade Associations and Multilevel Regulation, Miami European Union Center, Jean Monnet/Robert Schuman Paper Series, Vol. 5, No. 38 (Jul. 2006) available at <http://www6.miami.edu/eucenter/Bradley%20Trade%20Associations.pdf>

Information Society Challenges to Financial Regulation 37 U. Toledo L. Rev. 307 (2006)

Virtual Worlds, Real Rules (with Michael Froomkin) in Jack M. Balkin & Beth Simone Noveck eds., THE STATE OF PLAY: LAW, GAMES AND VIRTUAL WORLDS (2006)

Private International Law-Making for the Financial Markets, 29 Fordham Int'l L. J. 127 (2005)

Virtual Worlds, Real Rules (with Michael Froomkin) 49 N.Y.L.Sch. L. Rev 103 (2004/2005)

(<http://www.nyls.edu/pdfs/v49n1p103-146.pdf>)

Online Financial Information: Law and Technological Change
26 Law & Policy 375 (2004) in Dimity Kingsford Smith & Caroline Bradley eds., Symposium on Online Investing and the Online Consumer 26 Law & Policy 371-506 (2004)

Twenty-First Century Anglo-American Partnership Law?
30 Common Law World Review 330 (2001)

Demutualization of Financial Exchanges: Business as Usual?
21 N.W.J. Int'l L.&Bus. 657 (2001))

Enterprise and Entrepreneurship: the Impact of Director Disqualification
1 J. of Corp. L. Studies 53 (2001)

Disorderly Conduct: Day Traders and the Ideology of "Fair and Orderly Markets"
26 J. Corp. L. 63 (2000)

Transatlantic Misunderstandings: Corporate Law and Societies
53 U. Miami L. Rev. 269 (1999).

Suspension and Disbelief (or, How Managed Should a Market Be?)
26 Seton Hall L. Rev 597 (1996). This article was abstracted in Bowne, 10 DIGEST FOR CORPORATE AND SECURITIES LAWYERS 3 (June 1996).

Corporate Power and Control in the 1990s: The Transnational Dimension
15 Oxford Journal of Legal Studies 269 (1995).

Contracts, Trusts and Companies
in McCahery, Picciotto and Scott (Eds.) CORPORATE CONTROL AND ACCOUNTABILITY, Oxford University Press (1993) at 217-230.

The Future of Company Law: Themes and Structures
(with Vanessa Finch and Judith Freedman) in Peter Birks (Ed.) EXAMINING THE LAW SYLLABUS. BEYOND THE CORE, Oxford University Press, (1993) at 41-45.

The Market for Markets : Competition between Investment Exchanges

in John Fingleton (Ed.), *THE INTERNATIONALISATION OF CAPITAL MARKETS AND THE REGULATORY RESPONSE*, London: Graham & Trotman, 1992, at 183-196.

Competitive Deregulation of Financial Services Activity in Europe after 1992
11 *Oxford Journal of Legal Studies* 545 (1991).

1992: The Case of Financial Services
12 *Northwestern Journal of International Law and Business* 124 (1991)
(excerpted in Stephen Weatherill, *CASES AND MATERIALS ON EEC LAW*, Blackstone Press Ltd., 1992, at 466-470).

Changing Company Law?
53 *Modern Law Review* 397 (1990) (with Judith Freedman).

Corporate Control - Markets and Rules
53 *Modern Law Review* 170 (1990). (Reproduced in S. Wheeler (ed.) *THE LAW OF THE BUSINESS ENTERPRISE*, Oxford University Press, 1995.)

La Disciplina Inglese sull'Ammissione in Borsa di Valori Mobiliari
1990 *Rivista del Diritto Commerciale* 595.

Harmonising Take-over and Merger Regulations within the EEC
7 *Company Lawyer* 131 (1986).

JOTWELL

Open Government and the Implementation of the Dodd-Frank Act (Dec. 2011)
<http://corp.jotwell.com/open-government-and-the-implementation-of-the-dodd-frank-act/>

When Corporations Translate Treaties (Feb. 2011)
<http://corp.jotwell.com/when-corporations-translate-treaties/>

Better (or Worse?) Risk Management Through Technology (Nov. 2009)
<http://corp.jotwell.com/better-or-worse-risk-management-through-technology/>

COMMENTS, BOOK REVIEWS etc.

Review of William Twining, *GLOBALISATION AND LEGAL THEORY*,
31 *Inter-American L. Rev.* 463-467 (2000)

Review of PENNINGTON'S COMPANY LAW, 108 *Law Quarterly Review* 169 (1992)

Review of JUST DESERTS FOR CORPORATE CRIMINALS by Kip Schlegel,
44 *British Journal of Sociology* 732 (1993)

The Siege of Irish Distillers, 10 *Company Lawyer* 70 (1989)

Guinness, Distillers and Regulation, 8 *Company Lawyer* 129 (1987)

Collective Investment Schemes

in *FINANCIAL SERVICES REPORTER* (Bicester: CCH Editions Limited).

International

in *FINANCIAL SERVICES REPORTER* (Bicester: CCH Editions Limited) ¶¶ 78,011-80,054.

Take-overs and Mergers

in *FINANCIAL SERVICES REPORTER* (Bicester: CCH Editions Limited) ¶¶ 55,011-

58,602.

CONFERENCE PAPERS AND PRESENTATIONS

Stakeholders and the Machine : Identity and the Construction of Rules on Money

paper presented at the Law and Society Conference, San Francisco, June 2011

Transparency Is The New Opacity: Constructing Financial Regulation After The Crisis

paper presented at American University Washington College of Law Symposium on Law, Finance and Legitimacy after Financial Reform, Washington DC, April 2011

Intersections in Financial Regulation After The Crisis?

paper presented at University of Miami Business Law Review and International and Comparative Law Review Symposium on The Response to Financial Crisis and the Dodd-Frank Legislation, April 2011

Consultation and Legitimacy in Transnational Standard-Setting,

paper presented at the American Society of International Law, International Economic Law Interest Group Conference on International Economic Law in a Time of Change, Minnesota, November 2010

Transnational Regulation and the Global Financial Crisis 2007-2010

lecture delivered at the University of Miami, September 14, 2010

Consultation and Legitimacy in Transnational Standard-Setting,

paper presented at the Third Biennial Conference of the Standing Group on Regulatory Governance of the European Consortium for Political Research and the Regulation Network on Regulation in the Age of Crisis In Dublin, June 2010 and at the Law and Society Conference, Chicago, May 2010

Fair and Orderly Markets: Manipulation of the Markets by Governmental Authorities

paper presented at the Southeastern Association of Law Schools Conference, Palm Beach, August 2009

Rhetoric and the Regulation of the Global Financial Markets in a Time of Crisis: the Regulation of Credit Ratings

paper presented at the Law and Society Conference, Denver, May 2009

Intersections and Layers in Financial Regulation

paper presented at a Roundtable discussion on Layering Governance: Multilevel Regulation under Bush and Beyond sponsored by the Center on Federalism and Intersystemic Governance and the Claus M. Halle Institute for Global Learning at Emory University, May 2009

Rhetoric and the Regulation of the Global Financial Markets in a Time of Crisis: the Regulation of Credit Ratings

paper presented at a symposium on Financial Markets and Systemic Risk: The Global Repercussions of the U.S. Subprime Mortgage Meltdown at the University of Iowa College of Law, February 2009

Reconfigured Selves in Self-Regulation

paper presented at the Law and Society Conference, Montreal, May 2008.

Financial Education: The Stagecoach and the Highwayman

paper presented at the Socio-legal Studies Association conference in Manchester, UK, on March 19, 2008.

- Consumers of Financial Services and Multi-level Regulation in the European Union*
paper presented at the Fordham International Law Journal's symposium
on Fifty Years of the European Community Law on Feb. 29, 2008.
- Gaming the System: Virtual Worlds and the Securities Markets*
paper presented at the Law and Society Conference, Berlin, July 2007
(<http://blenderlaw.umlaw.net/wp-content/uploads/2007/07/stockmktgames2007001.pdf>)
- Financial Trade Associations and Multilevel Regulation*
presentation at the American Branch of the International Law
Association's International Law Weekend 2006, New York, October 28,
2006
- Financial Trade Associations and Multilevel Regulation*
presentation at an Expert Workshop on Multilevel Regulation organized by
The Hague Institute for the Internationalisation of Law (HiiL), CONNEX
(Connecting Excellence on European Governance) and the Ius Commune
Research School, Netherlands Institute for Advanced Study in the
Humanities and Social Sciences (NIAS), Wassenaar (NL) 27 June, 2006
- Changing Money*
presentation at Push 2006, Walker Art Center, Minneapolis, June 12, 2006
- Financial Services: Recent Developments in the EU and NAFTA*
presentation at the Miami European Union Center Symposium on
Comparative Regionalism and the EU, University of Miami, November 4,
2005
- Stock Markets in Virtual Worlds*
panelist at the State of Play III Conference, New York Law School,
October 7, 2005 (video at <http://www.nyls.edu/pages/3903.asp>)
- Private International Law-Making for the Financial Markets*
paper presented at the Law and Society Conference in June 2005
(http://papers.ssrn.com/sol3/papers.cfm?abstract_id=786227)
(<http://law.bepress.com/expresso/eps/680/>)
- EU Enlargement and the ECJ*
paper presented at the Miami European Union Center Conference on
European Union Enlargement, University of Miami, April 22, 2005
- Information Society Challenges to Financial Regulation*
paper presented at a conference for The Tenth Anniversary of Cybersecurities
Law, University of Toledo, April 8, 2005
(<http://law.utoledo.edu/cybersecurities/April08-05Conf.htm>)
- Private International Law-Making for the Financial Markets*
paper presented at a Global Governance Symposium at the University of Miami
on March 4, 2005
- Information Society Challenges to Financial Regulation*
paper presented at the Oxford Information Institute on October 7, 2004
- Virtual Worlds, Real Rules* (with Michael Froomkin)
paper presented at the Telecommunications Policy Research Conference in
September 2003, available at
<http://intel.si.umich.edu/tprc/papers/2003/240/VirtualReal.pdf>

- Corporate Lawyers and the Rhetoric of Legal Certainty*
paper presented at the Law and Society Conference in June 2003
- Legal Harmonisation and Legal Cultures: The Case of Take-over Regulation*
paper presented at the European Union Studies Association Conference, 2003
- Technology, Demutualisation and Stock Exchanges: the Case for Co-regulation*
paper presented at Clide/CLTA Conference on Corporations and Financial Regulation in the Digital Economy in Melbourne in February 2002 (see http://papers.ssrn.com/sol3/delivery.cfm/SSRN_ID312157_code020604670.pdf?abstractid=312157)
- Virtual Finance and Actual Rules*
paper for a symposium to inaugurate the Centre for Law in the Digital Economy at Monash University, Melbourne, Australia, August 2001; subsequent version presented at Law & Society Conference, 2002
- Manipulation of Regulatory Structures in the Financial Markets*
paper presented at the Law and Society Conference in May 2000
- Enterprise and Entrepreneurship: the Impact of Director Disqualification*
paper presented at the SPTL Company Law Workshop in Bournemouth, UK, on 12 May, 2000
- Disorderly Conduct: The Ideology of "Fair And Orderly Markets" Confronts Technology*
paper presented as part of a panel on the Rhetorics of Financial Regulation at the Law and Society Conference in Chicago, in May 1999
- The Comparative Challenge for Anglo-American Corporate Law*
paper presented at the Socio-Legal Studies Association Conference in Leeds, UK, March 1995, and at the Law and Society Conference in Toronto, Canada, in June 1995
- The Recent Development of the Regulation of Insider Dealing in the United Kingdom*
paper for a conference held in Catania, Italy, in January 1992
- Contracts, Trusts and Companies*
paper presented at a conference at Warwick University in June/July 1991
- Financial Regulation: Policy and Legal Issues*
paper delivered at a seminar held in Prague, Czechoslovakia, in September 1991
- The Market for Markets : Competition between Investment Exchanges*
paper delivered at a conference held at the London School of Economics in November 1991

PRIZES AND RESEARCH FUNDING

Nuffield Foundation Social Science Fellowship (1 January 1991 to 31 March 1991); STICERD (the Suntory-Toyota International Centre for Economics and Related Disciplines) (summer 1991).
Scholarship, Russell Vick Prize, Jesus College, Cambridge, 1982.
Entrance Exhibition, Jesus College, Cambridge, 1979.

OTHER ACTIVITIES AND INFORMATION

Faculty Advisor

International and Comparative Law Review (2000-present)

University of Miami Committee Service

2007-8 Member, University of Miami Law School Dean Search Committee

2006-7 Member, University of Miami Business School Dean Search Committee

University of Miami Law School Committee Service

2011-12 Chair, Laterals Committee; 2007-9 Chair, Appointments Committee;

2005-7 Chair, International and Foreign Programs Committee; 2004-5 Chair,

Visitors and Laterals Committee; 2002-2004 Chair, Appointments

Committee; 1999-2001 Chair, Curriculum Committee; 2010-11 Member Laterals

Committee; 2009-10 Member, Promotion and Tenure Committee; 2008-9

Member, Faculty & Student Community & Support Services Committee; 2007-8

Member, Dean Search Committee; 2006-7 Member, Public Interest Committee;

2000-2004 Member, Appointments Committee; 2002-2008 Member,

International and Foreign Programs Committee; 1999-2000 Member, Ad-Hoc

Committees on the Law School's Mission and Self-Study; 1998-9 Member, Dean

Search Committee; 1997-8 Chair, Disabilities Issues Committee; 2005-6 and

1997-2002 Member, Curriculum Committee.

Corporate Law Section Editor

Jotwell, <http://corp.jotwell.com/> (2009 - present)

Overseas Corresponding Editor

Common Law World Review (2001 - present)

Executive Committee Member (2000 - 2003)

Center for Latin American Studies (CLAS), University of Miami

Member, Board of Directors

UM/Canterbury Preschool, 1998-2001; Secretary, 1999-2001.

Academic consultant to the (English) Law Commission for a project on Fiduciary Duties and Regulatory Rules. (1990 - 92)

External Examiner in Banking Law for City University

(1990, 1991, 1992).

Examiner for the Society of Investment Analysts in Investment Regulation and Practice

(1988 - 90)

External Examiner for University College, London in Regulation of Financial Markets

(1988 - 9)

Languages: French (reasonably fluent).

March 2011